

## Electronic Acknowledgement Receipt

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<b>Application Number:</b>	09911772
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3927
<b>Title of Invention:</b>	Securities market and market maker activity tracking system and method
<b>First Named Inventor/Applicant Name:</b>	Stephen Cutler
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<b>Filer:</b>	Morris David Galin
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<b>Attorney Docket Number:</b>	CUTLP0102US
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<b>Application Type:</b>	Utility under 35 USC 111(a)

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<b>File Listing:</b>					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		CUTSP0102USR02final.pdf	267590 802a0e31c0d5a305c2aed0ee324be04d8320b4d2	yes	77
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>	<b>Start</b>	<b>End</b>		
	Amendment - After Non-Final Rejection	1	2		
	Specification	3	3		
	Claims	4	53		
	Applicant Arguments/Remarks Made in an Amendment	54	77		
<b>Warnings:</b>					
<b>Information:</b>					
2	Extension of Time	CUTSP0102USR02ExtensionofTime.pdf	57198 177eea932454cccae38632154a369342b0da1a95	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8164 271d1912da19f6f6bcb0b7b6b9d620090da86731	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			332952		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

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